

GENERAL COUNSEL SERVICES



About Us

We are compliance and legal nerds helping RIAs and hybrid firms navigate the legal complexities of the RIA world. Our services are focused, not on consulting, but DOING the work for you and your firm, so you can focus on what you do best- growing and leading your team.

Service includes:

- ✓ 1-60 minute call per month
- ✓ 15 billable hours
- ✓ Lock in billable rates as follows:
 - \$250/hr. for paralegals
 - \$350/hr. for associate attorneys
 - \$450/hr. for senior attorneys

Contract Terms

- ✓ 24 mo. contract
- ✓ Cancel Anytime
- ✓ Rollover up to 5 hrs./mo.



Arbitration Management

We will manage arbitrations, client complaints and other legal matters



Regulatory Defense

We respond to regulators and defend the Firm in OTRs, Wells notices, investigations and other state and federal matters



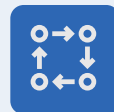
Employment Matters

We will prepare employment contracts, non-solicits and non-competes and help new advisers transitioning to your firm



Corporate Governance

We will renew your E&O insurance, Fidelity bonds, renew your entity registrations, maintain board minutes and more.



M&A

We will prepare asset purchase agreements, conduct due diligence and arrange financing.



Legal Support and Advice

Provide legal support and advice as your grow and expand your business.

Call us for
more info



770-462-2118